

License and Regulation

Investment Services Reception and Transmission of orders in relation to one or more Financial Instruments
Execution of orders on behalf of Clients Dealing on Own Account Ancillary Services Safekeeping and administration of Financial Instruments for the account of Clients, including custodianship and related services such as cash/collateral management.

Granting credits or loans to an investor to allow him to carry out a transaction in one or more Financial Instruments, where the firm granting the credit or loan is involved in the transaction. Foreign exchange services where these are connected to the provision of investment services.